United States Court of Appeals for the Second Circuit



APPELLANT'S BRIEF

75-7005

UNITED STATES COURT OF APPEALS

FOR THE SECOND CIRCUIT



NEIL F. CROWLEY and LOUIS F. AMATUCCI,

Plaintiffs-Appellants,

against

AMERICAN AIRLINES and ALLIED PILOTS ASSOCIATION,

Defendants-Appellees.

DEFENDANTS-APPELLEES' SUPPLEMENTAL APPENDIX

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September 17, 1971, addressed to your attorney, Mr. Levy,
from Mr. Fisher, with a copy to you?

Did you get that letter (handing document
to witness)?

A Yes, I received this.

this letter which I am now going to show to you, dated

MR. SEHAN: I would like to have that marked as APA Exhibit 6 for identification.

(Two-page copy of letter, on letterhead of Allied Pilots Association, dated September 17, 1971 from Charles W. Fisher to Mr. Herbert A. Levy, was marked APA Exhibit No. 6 for identification, as of this date.)

BY MR. SEHAM:

- I am going to show you another letter dated September 20, 1971, from Mr. Levy to Mr. Fisher, with a copy to you, Mr. Amatucci, and I think it is a fair description to say that it is a reply to the September 17th letter (handing document to witness).
- A Mr. Levy did write a lot of letters; yes.
- Q And you recognize this letter as being a copy of one that you received?
- A Right.

MR. SEHAM: Will you please mark that letter.

2	Q What about Biuso, was that also the following
3	day, on the telephone?
4	A The following day within a couple of days.
5	Q Did you make any written record of this
6	hearing subsequent to the hearing, for the purpose of
7	communicating with Crowley or Biuso or anybody else?
8	A Negative, negative.
9	Q Did you produce any writing of any kind dis-
10	cussing or relating to this hearing?
11	A No.
12	Q Now I am going to show you a letter dated
13	September 27th, to Charles A. Pasciuto from Nicholas J.
14	O'Connell, Jr., showing copies to Messrs. Levy and
15	Amatucci, among others (handing document to witness).
16	I ask you whether you received a copy of
17	that letter.
-18	A Yes.
19	MR. SEHAM: I would like that marked as
20	APA Exhibit 8 for identification.
21	(Copy of letter dated September 27, 1971,
	ne case a passes tennedation from

mber 27, 1971, on letterhead of Allied Pilots Association, from Nicholas J. O'Connell, Jr., to Mr. C. A. Pasciuto, was marked APA Exhibit No. 8 for identification, as of this date.)

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1 119 Amatucc1 2 questions are like that. MR. KERRY: Please don't do that. Don't 3 4 argue with Mr. Seham, please. Answer his question, 5 if you can. THE WITHESS: If anything happened in 6 7 January of 1972? MR. ADM!Y: If you know. 8 THE WITHESS: Do I know of anything that 9 happened in that particular month? 10 FR. FRAY: Yes, which led you to conclude 11 that you couldn't get a fair hearing. 12 Is that the question? 13 MR. Sand Yes. 14 MR. If you can recall. 15 Anything that would substan-16 tiate my feelings that I could not get a fair 17 hearing? 18 BY MR. SEHAM: 19 Yes; that's another way of putting it, yes. 20 I don't recollect anything in that particular 21 month. 22 Fine. Q 23 Do you remember anything coming to your 24

attention or your learning anything in October, November,

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or December -- in other words, the last three months
of 1971 -- which would have led you to believe, or
which did lead you to believe that you could not get a
fair hearing before the System Board?

A What months?

me .

MR. KENNY: October, November, December.

- Q The last quarter of that year.
- A October, November, December.

I can't recollect anything happening in those three months.

Q I am going to show you another letter that came from Harry Platt, dated January 31, 1972, addressed to you and Mr. Crowley, and I ask you if you recall receiving this letter (handing document to witness).

THE WITNESS: Can I telk to counsel?

MR. KENNY: No; you don't have to talk to

Do you remember seeing that?

THE WITNESS: Yes, I do.

MR. SEHAM: O.K., I would like that marked as APA Exhibit 19.

(Copy of letter dated January 31, 1972, from Harry H. Platt to Mr. Neil F. Crowley and Louis F. Amatucci, was marked APA Exhibit No. 19 for identification, as

1 137 Amatucci 2 American Airlines, Inc., and Allied Pilots Association," was marked APA Exhibit No. 25-A 3 4 for identification, as of this date.) 5 BY MR. SEHAM: You will notice, at the bottom of the order 6 of the System Board of Adjustment, that there are the 7 names of the various members of that board. I would 8 like to ask you pretty much the same questions with 9 respect to each one. 10 Do you know or have you ever met J. S. Pond? 11 12 No. I therefore take it you have never discussed 13 this case before or after the determination of the 14 System Board with Captain Pond? 15 No. 16 Have you ever met or do you know personally 17 Captain F. C. Posdick? 18 No. 19 And it would also be true that you never 20 discussed this case with Mr. Posdick? 21 MR. KENNY: Objection to form. 22 Have you ever discussed this case with Mr. Q 23 Posdick?

No.

1		Amatucci	138
2		Q Turning to the co-members of the board	1,
3	А. В.	Crimmins, have you ever met or do you know !	fr.
4	A. B.	Crimmins?	
5	A	No.	
6		Q Do you know what position he holds with	th the
7	compa	ny?	
8	A	No.	
9		Q Captain George A. Wells I believe he	e is a
10	capta	in in any event, George A. Wells; have you e	ver met
11	or do	you know George A. Wells?	
12	Λ	No.	
13		Q Do you know what position he holds?	
14	A	I also believe he is a captain.	
15		Q Do you know if he holds any position,	a
16	manag	gerial position?	
17	A	I think he is I believe he was appointed	to a
18	some	sort of a position about a year ago, a year	and a
19	half	ago.	
20		Q In the company?	
21	A	Yes.	
22		But you don't know what?	
23	A	I don't know what.	1

Incidentally, do you know whether either Q

Captain Pond or Captain Posdick hold any position in the

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And were the names of other arbitrat rs or

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and his voting record.

When you say you are "not friendly" -- you

are not hostile towards him?

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I believe you testified that you were not

concerned about Fosdick and Pond.

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2 shows cc to you on the second page.

Do you recall receiving that letter in the ordinary course of the mail?

A Yes, I do.

MR. SEHAM: Off the record.

(Discussion off the record.)

BY MR. SEHAM:

Q I have just handed you another document which has previously been identified as APA Exhibit 7 for identification, which is a letter from Mr. Levy to Mr. Fisher, dated September 20, 1971, and showing a copy to you.

Do you remember getting a copy of that in the ordinary course of the mail?

A Yes, I do.

Q At the hearing of September 24, 1971, can you recall where and, if possible, at what hour that hearing was held?

I think it was 11 o'clock.

- Q And where, geographically, was it held -- and if possible, the office?
- A Kennedy Airport, the terminal building.
 - Q Were these American Airlines premises?
- A Yes, they were.

cluding Mr. Levy and yourself --2 Yes. 3 -- and this has previously been identified 4 in this proceeding as APA Exhibit 8, and I will ask you 5 if you recollect having received a copy of that letter 6 in the ordinary course of the mail (handing). . 7 I would say, yes, Mr. Seham. 8 Maybe, so we can get an idea of the dates, 9 you say there was another counsel change after Mr. 10 Zelman was retained by you? 11 Yes. 12 When did that change take place, approxi-13 mately? 14 A couple of weeks after we engaged him. 15 Can you focus on that any better? 16 It was towards the end of October, sir. There 17 was so much stuff happening here and there was so much 18 paper work, and I was hit on the head, and I wasn't 19 1 working on all eight cylinders. 20 300 Q Do you feel comfortable that it was towards 21 N N the end of October in answering the question? Yes, I do. I am going to show you a letter dated Oc-

tober 4, 1971 from Mr. Reetz, addressed to you, with a

2 21

I am going to show you another document from Harry Platt, which has been previously marked as APA Exhibit 24 for identification, addressed to you and a number of the other people -- and I ask you whether you received that in the ordinary course of the mail sometime after March 14, 1972 (handing).

A I would say, yes.

Q Did you take any further action?

A No.

Q Again, aside from the court action?

A No.

Q I think the final document that we have are copies of the order of the System Board of Adjustment and a covering letter from Mr. Platt, the covering letter being addressed to you and Mr. Amatucci, which letter and attachment have been previously marked as APA 25 and 25-A -- and I am going to ask you whether you have seen them before and whether you received them in the ordinary course of the mail after March 27, 1972 (handing)?

A Yes.

Q You will note that the order of the System
Board is signed by a number of people. The association
members include J. S. Pond. Do you know J. S. Pond?

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No.

Do you know whether he had any position with Q

2	respect to your grievance prior to the decision of the
3	System Board?
4	A No.
5	Q Mr. Crimmins, who is listed as a company
6	member, do you know what position Mr. Crimmins holds
7	with the company?
8	A No.
9	Q Have you ever met him?
10	A No.
11	Q Do you know whether he had any position with
12	respect to your grievance prior to the decision of the
13	System Board?
14	A No.
15	Q Similarly, with Mr. George A. Wells; do you
16	know what position he has or had with the comp ny?
17	A No.
18	Q Do you know whether he had any position
19	with respect to your grievance?
20	A No.
21	Q How about Mr. Harry Platt do you know who
22	Mr. Harry Platt is?
23	A He is the arbitrator.
24	Q Did you know prior to the decision of the

System Board that Mr. Platt was the arbitrator?

A	Other than through the correspondence I received
from 1	nim.
	Q Is the answer "yes," that you did know he
was th	ne arbitrator through the correspondence?
A	Yes, the answer is "yes."
	Q Had you ever met Mr. Platt personally?
Α	No.
	Q Did you have any reason to believe that Mr.
Platt	had formed an opinion with respect to your case?
A .	I would say, no.
	Q Did you ever review any account of Mr.
Platt	's decisions in other System Board cases?
A	I was aware of it.
	Q You were aware of his decision in other
System	n Board cases?
A	Yes.
	Q Of what System Board cases were you aware?
A	I can't say because I don't know, because they
just p	out down numbers.
	Q Where did you obtain the knowledge as to
Mr. P	latt's performance or decision in other System
Board	cases?
A	In the union yearbook.
	Q In which yearbook was that?

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	11,	16.

- Q Do you recall what was stated in those yearbooks?
- A Simply, that all the five -- all the grievances that had gone before the five-man board for adjudication were denied.
- Q Did Mr. Platt sit as neutral on all those five-man board cases, do you know?
- A This I don't know.
 - Q Do you know how many he sat on?
- A No, sir, I don't know.
- Q Did you know prior to the decision of the System Board, whether the company and the union used Mr. Platt as the exclusive neutral, or did they use other neutrals from time to time?
- A I don't know.
- Q Did you make any investigation as to Mr.

 Platt's tackground or credentials as an arbitrator?

 No.
- Q Did you know whether Mr. Biuso had submitted his grievance to a System Board of Adjustment? A Yes, I did know.
- Q Was that board comprised of the same individuals as sat on the board that rendered this decision

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Q When you filed your original grievance, was the subject of that -- why don't you tell me what was the subject of that grievance -- what were you complaining about?

A The training and being excluded on the check rights, being framed out.

Q Let's try to --

A I'm sorry.

Q The --

A The nomenclature is poor.

Q Well, actually, I get some of the flavor of that.

A I'm sorry.

MR. KENNY: Just answer the question.

Q Was the complaint addressed to the fact that you had been terminated by American Airlines because of their failing you on the training -- is that what your testimony is?

MR. KENNY: I object to the form.

Q Well, you say you were complaining about the training; is that right?

A That's right.

Q What was the consequence of the training that you were complaining about?

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A The consequence?

Q Yes; I mean, did you want more training?
What was the object of the grievance?

A That's right. I wanted to be treated the same way everybody else was treated. That's what I wanted.

Q You wanted --

A I wanted what the company policy was, what the union policy was; training to proficiency -- what was told and was given to other people. That's exactly what I wanted, sir -- no more and no less.

MR. KENNI: Don't get excited, Neil.

Q Was it your understanding that that training would continue indefinitely until proficiency were attained? When you talk about "training to proficiency," what do you mean by that?

A That was the stated policy of the company and the union --

Q And --

-- training to proficiency.

Q Did that mean regardless of the length of time the training took?

A That's what was stated, sir; training to proficiency.

Q That was your understanding of "training to

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A That's right.

Q Did you understand that? What did you understand that to mean in terms of the termination or after the termination, or what?

A He could not help me.

Q Did you have any conversations with Mr. Fisher after that?

A Yes.

Q What sections of the contract did you rely on when you filed your original grievance? Was it section 23 of the contract?

A I don't know.

MR. SEHAM: Off the record.

(Discussion off the record.)

BY MR. SEHAM:

Q In filing your original grievance, were you making the allegation that the company was in violation of the contract?

A Yes.

Q The labor agreement?

A Yes.

Q What provisions of the contract were you alleging -- either in the grievance or did you intend to allege later on -- the company had violated?

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the grievance what the violation of the contract was; is that right?

May I speak with my counsel?

No.

MR. KENNY: No, answer the question as best as you can.

- If you didn't know, you didn't know.
- At that time, I didn't know.
- Then you say you didn't know, you didn't know the section of the contract or you didn't know the acts which American Airlines did which you claim violated the contract?
- No, I didn't know the chapter and verse.
- Did you know the acts that American committed which had violated the contract, in your opinion?

MR. KENNY: Was this down at the school? MR. SEHAM: I don't know where it was, and I don't -- I am talking about the time he filed this grievance.

Now, at the time you filed this grievance, you were complaining of something. You say you don't know of the chapter and verse of the contract.

What acts, then, were you complaining of that American committed in violation of the contract?

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A I passed the simulator, and I was fired; that's No. 1.

Q And is that it?

A No, there was more to it than that.

you complaining of at the time you filed this grievance?

A I was -- passed the simulator; advanced the airplane, given three hours and 23 minutes in the airplane; sent back to the simulator for more check rides, which I passed; sent home to New York; a couple of weeks later, called out -- took another check ride -- passed it; and the "pass" became a "fall" and I was terminated.

What other acts by American Airlines were

Q Have you fully described the acts of American Airlines that you were complaining of in this grievance, in the original grievance?

A I think that sums it up, except for instructions and instructors.

Q When you say "except for," what do you mean by "except for"?

A Well, this would be all-inclusive, Mr. Seham.

Q When you say "all-inclusive," does that mean it relates to your earlier answer --

A Yes.

Q -- the answer you just gave?

1		Crowley 11
2	Capta	in Reetz that you wanted as a result of your gri
3	ance?	
4	A	I wanted to eit er go back to flight officer
5	or ge	the training for copilot.
6		Q Did you specifically ask Captain Reetz for
7	more t	raining as a copilot?
8	A	Yes.
9		Q Why did you tell Captain Reetz that you
10	wante	d more training?
ıı	A	Because I could do the job, that's why.
12		Q You could do the job?
13	A	That's right.
14		Q And did Captain Reetz say anything to you
15	What	was Captain Reetz' response to that statement by
16	you?	
7	A	He said, "That's not true." He had checked.
8		Q He had checked?
9	A	That's right,
0		Q Is it still your view that you can do the
1	job?	
22	A	That's right.
23		Is it your present belief that you are

qualified to fly as a copilot for American?

That is correct.

bias was of the Board?

MR. KENNY:

No, your Honor.

THE COURT:

Frankly, I cannot find where at that stage, see, he makes a charge which is a serious charge. Did they ever make a record before any of these panels?

MR. KENNY:

No, your Honor. Your Honor, we have no claim in this lawsuit for bias of the panel. That is not our lawsuit.

Your Honor, no matter how, - it was brought a little late, but it is still a good cause of action.

THE COURT:

You do not claim that the panel was biased?

MR. KENNY:

No, that is not our claim, Judge.

THE COURT :

All right, because I think we would agree if once made, it is a serious charge.

MR. KENNY:

I would agree to that, your Honor.

Your Honor, I will be most candid with

the Court. I wish most of these things

were never written.

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COURT OF AFFERIES

DO NOT PUBLISH

UNITED STATES COURT OF APPEALS

TENTH CIRCUIT

No. 73-1697

to In Je

BERT NEAL, EUGENE SONTHEIMER, R. F. McGILL, and others similarly situated.

Appellants,

V.

AMERICAN AIRLINES, INC., a corporation, and THE TRANSPORT WORKERS UNION OF AMERICA, AFL-CIO,

Appellees.

Appeal From The
United States District Court
For the Northern District
of Oklahoma
(D.C. # 72-C-428)

Joseph L. Htfl: III, Tulsa, Oklahoma, for Appellants.

John A. Johnson, Oklahoma City, Oklahoma (Loyd Benefield, Oklahoma City, Oklahoma, on the Brief), for Appellee, American Airlines, Inc.

Maynard I. Ungerman, Talsa, Oklahoma (John F. O'Donnell, New York, New York, and Ungerman, Grabel and Ungerman, Tulsa, Oklahoma, and Asher Schwartz, New York, New York, of Counsel, with him on the Brief), for Appellee, The Transport Workers Union of America, AFL-CIO.

Before CLARK, Associate Justice Retired*, HILL and SETH, Circuit Judges.

*Of the Supreme Court of the United States, Sitting by Designation.

SEIR, CIPCUIT JUGGE.

This is an action seeking damages and injunctive relief for the refusal by American Airlines and The Transport Workers Union (TWU) to recognize the seniority rights to which the plain tiffs allege they are entitled under various labor contracts negotiated between American and the TWU.

Jurisdiction is asserted under the Railway Labor Act (45 U.S.C. §§ 151 et seq.) and under 28 U.S.C. § 1337. The plaintiffs are appealing from the order of the district court dismissing the action on the ground that administrative remedies under the Railway Labor Act have not been exhausted.

The named plaintiffs were all longtime employees of American Airlines and former members of a bargaining unit represented by the TWU. At various times and as early as 1957 each plaintiff had accepted a promotion from the bargaining unit to a first-level management position. The promotions meant, of course, that plaintiffs would no longer be members of the TWU nor of the bargaining unit from which they were promoted. The plaintiffs continued to function in their supervisory capacities until laid off in 1971. They maintain, however, that under the provisions of the labor agreement in force when the promotions were accepted and upon which the plaintiffs relied in accepting the promotions, they retained their bargaining unit seniority and are now entitled to exercise such seniority in bidding on positions within

their former bargaining unit. American and the TWU contend that the contracts containing the seniority provisions upon which the plaintiffs rely have expired, and that under the provision of the contract currently in force the plaintiffs' previously accrued seniority in the targaining unit has ended. Because of the disposition below, we need not discuss the merits. We agree with the district court that the failure to exhaust the administrative remedies provided under the Act deprives the court of subject matter jurisdiction.

Plaintiffs would have us excuse their failure to take their grievances before the appropriate board of adjustment as contemplaced by the Act (45 U.S.C. §§ 153, 184) because such a procedure in this case would be futile and because, in addition to the air carrier, they have joined the TWU as a party defendant. To this end they rely extensively on the cases of Conley v. Gibson, 355 U.S. 41 (1957), and Glover v. St.Louis-San Francisco Ry., 393 U.S. 324 (1969). Such reliance, however, is misplaced. Both cases involved allegations by union members that they were not being fairly represented by their bargaining agent. In each case the Court characterized the dispute as being essentially between the employees and their bargaining agent rather than employees and their carrier. Furthermore, in Conley the Court determined that the labor contract was involved only incidentally, and in Glover it determined that the contract was involved only to the extent that its provisions were allegedly being applied

discriminatorily. Thus, based upon its characterization of the nature of each controversy, the Court determined in each case that jurisdiction did not lie exclusively with the board of adjustment in the first instance, and that resort to such board would be a useless gesture. Plaintiffs contend that the same determinations should be made with respect to their action. We cannot agree. While we perceive a certain kinship between the plaintiffs' grievance and the disputes in Conley and Glover, stemming from the plaintiffs' allegations herein that they have be in unfairly treated in labor agreements negotiated subsequent to their respective promotions from the bargaining unit, we conclude, nevertheless, that in the last analysis, this action centers upon contractual provisions. Thus the allegations that the union should have continued to bargain for plaintiffs does not rise to the issue considered in the Conley or Glover cases. The basic reliance for seniority is upon the terms of the contracts existing when plaintiffs left the bargaining unit.

Section 204 of the Railway Labor Act (45 U.S.C. § 184) affords the appropriate board of adjustment jurisdiction over "[t]he disputes between an employee or group of employees and a carrier or carriers by air growing out of grievances, or out of the interpretation or application of agreements concerning rates of pay, rules, or working conditions. . . " As we noted in. McMullans v. kansas, Oklahoma & Gulf Ry., 229 F.2d 50 (10th Cir.), "[s]eniority and nondiscriminatory discharge, along with wages,

are fundamentally contractual and are the most common subjects of bargaining." We acknowledged therein that the right to bargain for seniority is authorized under the Act, but that "[t]hose who acquire seniority rights under a contract are bound by the possibility that the contract may be changed, and the rights thereunder revised or abrogated." We thus recognized that seniority rights fall within the category described by the Act as "rates of pay, rules, or working conditions," and are therefore a subject concerning which carriers and employees have a duty "to make and maintain agreements." 45 U.S.C. § 152. Disputes trising out of such agreements would thus be subject to the jurisdiction of the appropriate board of adjustment.

In further support of our characterization of the action we quote the first portion of the prayer for relief from plaintiffs' complaint:

agreement between the defendant Union and the Company does through the respective articles set out herein, entitle each and every member of said class and the plaintiffs herein: (1) to be reinstated by the defendant Company, and (2) to exercise their respective accrued seniority rights in bidding on a position in the bargaining unit."

From this and other portions of the complaint, it appears that in plaintiffs' own view this action is essentially one to vindicate an asserted contract right. The fact that in this instance the TWU supports American's interpretation and application of the disputed provisions in the various agreements does

not thereby transform the grievance into one against the union itself.

While not directly in point, we are struck by the obvious similarities between this case and the case of Crusen v. United Air Lines, Inc., 239 F.2d 863 (10th Cir.), wherein we affirmed and adopted the opinion of the late Judge Knous reported at 141 F.Supp. 347. In that case a group of airline pilots contended that they were being unlawfully deprived of their contractual seniority rights by the collusive action of the airline and their bargaining agent. Although the union was not joined as a defendant in the action, as it was in this case, the plaintiffs nevertheless alleged that they were challenging the validity of the contract rather than its interpretation and that the asserted conspiracy between the union and the airline rendered resort to the System Board of Adjustment futile. The district court determined from the formulation of the complaint itself, which resembled the complaint in this action, that the plaintiffs were seeking relief under the contract rather than challenging its validity. As noted, that determination is equally applicable to this case. The court then turned its attention to the question of the alleged futility of the administrative remedies, and quoted the following passage from Spires v. Southern Ry., 204 F. 2d 453 (4th Cir.), a case which was also notably similar to this one:

"Where the statutory representative makes contracts of the sort here involved having unfavorable effects upon some members of the craft who present a grievance on that account, it is the Adjustment Board which has jurisdiction of the controversy, and not the courts; for, as we have seen, it was just this sort of controversy that the Adjustment Board was created to handle."

Judge Knous found that neither simple allegations of projected administrative bias nor allegations of conspiracy between the union and the carrier were sufficient demonstration of futility to deprive the board of adjustment of its original jurisdiction.

We recognize that in addition, to the Conley and Glover.

cases, the Supreme Court has considered the necessity of exhausting administrative grievance procedures in other labor cases decided since Crusen. See for example Republic Steel Corp. v.

Maddox, 379 U.S. 650 (1965), and Vaca v. Sipes, 386 U.S. 171(1967). We find in those cases, however, nothing which would
cast doubt upon the continued validity of the rationale of Crusen
and much which would support it.

In summation, we would observe that this case is before us without allegations that resort to administrative grievance procedures has even been attempted. Plaintiffs seek to excuse this oversight and distinguish their case from other labor exhaustion cases on the grounds that the union is here a party defendant and that the nature of the dispute either deprives the board of original jurisdiction or makes resort to it a futile gesture. For the reasons set forth above, we have concluded that the dispute

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is of a type referrable to the board. With this conclusion established we cannot allow the joinder of additional parties nor the bare prediction that administrative procedures will prove unavailing to deprive the board of its original jurisdiction. Republic Steel Corp. v. Maddox, 379 U.S. 650; Transport Workers Union of America v. American Airlines, Inc., 413 F.2d 746 (10th Cir.). We therefore affirm dismissal of the action for want of subject matter jurisdiction.

Plaintiffs also argue that they have asserted a valid third party beneficiary claim cognizable under the court's pendent . jurisdiction. Without alliding to the merits of that claim, we simply note that upon dismissal of the federal claim for want of subject matter jurisdiction, the pendent state claim was also properly dismissed. United Mine Workers v. Gibbs, 383 U.S. 715 (1966).

Plaintiffs' contention that they should have been afforded oral argument on the motion to dismiss is without merit. Hazen v. Southern Hills National Bank of Tulsa, 414 F.2d 778 (10th Cir.); Fed.R.Civ.P. 78.

The judgment of the district court is AFFIRMED.

AFFIDAVIT OF NICHOLAS J. O'CONNELL IN SUPPORT OF MOTION FOR PROTECTIVE ORDER

UNITED STATES DISTRICT COURT WESTERN DISTRICT OF NEW YORK		
	x	
NEIL F. CROWLEY and LOUIS F. AMATUCCI,	:	
	:	
Plaintiffs,		72 CIV. 281 JTC
	:	
-against-		AFFIDAVIT
	:	
AMERICAN AIRLINES and ALLIED PILOTS		
ASSOCIATION,	:	
Defendants.	:	
	x	

NICHOLAS J. O'CONNELL, being duly sworn, deposes and says:

STATE OF TEXAS

COUNTY OF TARRANT)

- 1. I am the President of Allied Pilots Association

 ("APA"). I make this affidavit on the basis of personal knowledge of the facts herein. This affidavit is submitted in
 support of APA's Motion for a Protective Order, pursuant to the
 Order of Judge John T. Curtin dated August 5, 1974.
- 2. The Court, in its Order dated August 5, 1974, instructed plaintiffs to "file affidavits explaining in detail their charge that the documents previously referred to [undated "instructions" (Exhibit "A" hereto) and a letter dated August 2, 1972 (Exhibit "B" hereto) alleged by plaintiffs to have been prepared and promulgated by APA] were genuine and were deliberately used by the Allied Pilots Association to their detriment." Plaintiff Crowley's affidavit dated August 23, 1974 fails to demonstrate that APA was responsible

AFFIDAVIT OF NICHOLAS J. O'CONNELL IN SUPPORT OF MOTION FOR PROTECTIVE ORDER

in any way for either document; further, as a logical consequence, Crowley's affidavit fails to explain how such documents were used to plaintiffs' detriment. Thus, the plaintiffs have failed to comply with the Court's Order of August 5, 1974.

- (Exhibit "A" hereto), Crowley states only that they were "given to me" by an unidentified person in his training class. No facts are alleged to indicate that the document had any connection whatsoever to APA or to any APA officer, or that the document was prepared, distributed or condoned by APA or any APA officer. I refer the Court to my affidavit of July 19, 1974 (Exhibit "C" hereto) with the affidavit of Martin C. Seham, APA's General Counsel, of March 15, 1974 attached thereto (Exhibit "C-1" hereto), and reaffirm my statements under oath therein that the document was an anonymous document with no connection whatsoever to APA or any APA official. Plaintiffs have thus failed to demonstrate, as instructed by the Court, any proper foundation for the document.
- 4. Plaintiff Crowley's statement that it was his
 "understanding" that the document was distributed to all
 flight instructors is conclusory and fails to state a single
 fact underlying this alleged understanding; it adds nothing
 to the state of the record prior to its submission and should
 therefore he disregarded. There are no allegations in
 Crowley's affidavit that the document was given to him in
 circumstances guarantee: its authenticity or that the person

345a AFFIDAVIT OF NICHOLAS J. O'CONNELL IN SUPFORT OF MOTION FOR PROTECTIVE ORDER

who gave it to him considered it to be anything other than a hoax. There are no allegations that the person who gave the document to Crowley had any connection with it or any knowledge of its unknown origin or any official connection with APA; Crowley's suggestions that "perhaps I could recall" the name of the person giving him the document by reviewing voluminous training files is so tenuous as to be meaningless. The very notion that further research might recall the name of the individual passing the document suggests that the original incident was totally bereft of even the appearance of a connection with the Union. My own sworn affidavit, based on my investigation into the document and affirmatively stating the document had no connection whatsoever to APA, presents far more conclusive proof on the question of APA's connection to the document than Crowley's ephemeral allegations which are no more than a hope to somehow find someone who might thereafter possibly be able to tie the document to APA. That hope is groundless, as is confirmed by plaintiffs' failure to provide the foundation requested by the Court for the document.

plaintiff Crowley merely states it was received in the mail.

There is virtually no fact more uncontroverted than that anyone can use the U.S. postal service. APA might mail newsletters and publications in the U.S. mail but it can not control what other persons choose to mail. On this rationale APA would also be responsible for filling Mr. Crowley's mailbox with advertising circulars, pornography and the Sears Roebuck catalog. Crowley's affidavit is devoid of any fact linking the

AFFIDAVIT OF NICHOLAS J. O'CONNELL IN SUPPORT OF MOTION FOR PROTECTIVE ORDER

letter in any way to APA. I again refer the Court to my affidavit of July 19, 1974 (Exhibit "C" hereto) with the affidavit of Martin C. Seham, APA's General Counsel, of February 13, 1974 attached thereto (Exhibit "C-2" hereto), and reaffirm my statements under oath therein that the letter was a fraud and a forgery and had no connection whatsoever to APA. My sworn affidavit, based on my investigation into the letter, remains the dispositive proof before this Court as to the spurious nature of the letter; plaintiffs have made no showing to the contrary.

- documents he alleges APA improperly failed to produce as requested, a set of resolutions with a cover letter dated May 21, 1971 marked "Exhibit 4" in Crowley's affidavit (Exhibit "D" hereto) and a letter dated May 21, 1971 marked "Exhibit 5" in Crowley's affidavit (Exhibit "E" hereto). These documents are irrelevant to the matters discussed and the instructions given in the Court's Order of August 5, 1974. They are a last-ditch effort by plaintiffs in their attempt to substantiate their unfounded claims. Both the resolutions and the May 21, 1971 letter were mailed to Amatucci and Crowley as APA members and there was no intent or belief by APA that it was concealing material relevant to the present litigation in limiting its production of documents to the issues involved in this case.
 - 7. These documents are wholly irrelevant to the claims of these plaintiffs; they clearly reflect an attempt to recruit former TUA pilots into APA. Amatucci and Crowley were both

AFFIDAVIT OF NICHOLAS J. O'CONNELL IN SUPPORT OF MOTION FOR PROTECTIVE ORDER

were among the earliest to respond to APA's membership drive and as such, they were models for APA to point to in its continuing drive to recruit former TCA pilots. If anything, APA felt satisfaction with these new members and sought to provide the most favorable treatment possible to them to encourage other former TCA pilots to join as well. The documents to which Amatucci and Crowley refer reflect on the situation that existed with respect to other former TCA pilots in the group. If they raise any inference at all, it is of sympathetic treatment for these two individuals. (I add parenthetically that APA has always adhered to its statutory duty under the Railway Labor Act to represent all employees in the bargaining unit, including non-members, without discrimination.)

8. Finally, it should be noted that the documents, though offered by plaintiffs to demonstrate prejudice against them, fail to do so. The letter accompanying the resolutions (Exhibit "D" hereto) states: "Your efforts and the efforts of all; former TCA pilots could more constructively be directed by joining our organization and working with all of the other American pilots on an equal basis to improve our professional status." (Emphasis added.) The first resolution provides for administration of American's medical program "without discrimination". The second is similar and provides for maintaining professional standards "without discrimination". The third, which was part of APA's effort to enlist all former TCA pilots, cannot be read to have negative implications for former TCA pilots who already were APA members as were Amatucci and Crowley. The final resolution was similarly inapplicable to former TCA pilots who had already become APA members. In a like manner, the letter

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AFFIDAVIT OF NICHOLAS J. O'CONNELL IN SUPPORT OF MOTION FOR PROTECTIVE ORDER dated May 21, 1971 (Exhibit "E" hereto) had no applica-

tion to dues-paying APA members such as Amatucci and Crowley.

- 9. Plaintiff Crowley has also attached to his affidavit a copy of the minutes of the July 29, 1971 New York domicile meeting, Exhibit "6" thereto (Exhibit "F" hereto), produced by APA in response to plaintiffs' Notice to Produce. That document was included among those produced, even though APA considered it irrelevant, pursuant to Paragraph 8 of APA's Response to Plaintiffs' Notice of Request for Production of Documents (Exhibit "G" hereto) and because of a peripheral reference to "qualifications" in item 1 on page 1 (the second item so numbered on that page) and a mention of "ATPC training" in item 6 on page 2. If anything, the production of this item indicates the liberal interpretation given by APA to plaintiffs' production notices. I call the Court's attention to item 3 on page 1: "New committee to be formed to encourage TCA pilots to join APA." I further call the Court's attention to item 3 on page 2, immediately above the passage quoted in Crowley's affidavit: "Twenty-one former TCA members have joined APA, but we need all the conversions we can get." Two of those former TCA pilots whom APA was proud to have in its ranks were Amatucci and Crowley. Nothing in the domicile minutes establishes any bias towards them.
- grounds for deposing any APA member. The point of the Court's Order of August 5, 1974 was to require plaintiffs to lay a foundation for the documents previously introduced and to establish a convincing need for the deposition of particular APA officers. This has not been done. There are no allegations in the affidavit which demonstrate that deposing APA officers,

AFFIDAVIT OF NICHOLAS J. O'CONNELL IN SUPPORT OF MOTION FOR PROTECTIVE ORDER

or any other discovery procedures, would produce any new fact relevant to the Court's decisions on the pending Motions for Summary Judgment. The Court should, therefore, rule on these Motions at its convenience but without further applications by the plaintiffs.

DATED: Phogen 29, 1974 Tichelas J. O'CONNELL

SWORN TO BEFORE ME THIS J'/ DAY

OF (Input , 1974

Notary Public

A situation

a. Flight Instructors.

Mich's "message" more than adequately presented Recommend all instructors prominently wear and display APA pin to indicate position. Have APA applications. Brief full time simulator instructors of additions and objectives. Accept no mixed student pairings.

Isolate TA students both in (PT, simulator, and aircraft Acopt FAA attitude of complete browledge and proficiency in every nespect. Provide only basic requirements of mancioners and source to study and let individual practice with proficient or recordinated Extensive instruction on techniques given to AA creamendors not required.

b. Schaduling.

Heressary to isolate TA ensumer, in CPT, simulator, and oineraft.
Then they will quickly realize the benefits of APA medienship and will not have available to them the extensive coaching and instruction normally given in all courses. They can then have each days work outlined and either demonstrate proficiency on be ne-tained at that level until they master the subject themselves.

c. Al cramon-

a. M crownin at the Flight Mondony should positively isolate and socially ostracize the KM non APM monbers at school, the hotel etc. The prominent display at all times of the APM pin will suitably identify each person. This will be a healthy environment for each AI crownen, instructor, AI management, to let every one know one's position. The crownen should be especially sensitive to this. Additionally, scaling in all classrooms should positively segregate the AI versus the TM crowner and should be instituted immediately!

EXMOIT A

di TA creundr

In addition to positive identification and "special status" through listings on bulletin boards, separate scaling in classwooms, separate scheduling in CPT, simulator and aircraft, both the Fill agents and members of APA training committee, Safety or special local council committees should actually monitor classes, CPT, simulator on aircraft instruction. This will keep a special prominence and a very proper "pressure" on not only the cremer but Flight Academy instructors and lend the albesion many times needed.

c. Special APA monitor committee.

Mean representives and committee members should always be more than welcome at the Flight Academy to observe, evaluate and assist the AA encomer first and to make constructive recommendations. Particularly at this critical time AM Headquarters should advise the stop staff at the Flight Academy that starting now and on a continuing basis Special (committee members will present a letter from AM to Ted Melder on his representative and will be attending classes, briefings, CPT instruction, simulation instruction and aircraft instruction. On a long term basis this is important for the instructions to be under this evaluation but especially at this most critical time. These Special (committee members should, of course, prominently were a large colorful MAM nameplate and identification tog properly printed which can be exerted and attractively made of plastic locally. This "presence" should provide an adequate catylist for all concounced

EXHIBIT "B" - ANNEXED TO AFFIDAVIT OF MICHOLAS J. O'COMMELL



August 2,1972.

TO: ALL MEMBERS , ALLIED PILOTS ASSOCIATION

Gontlemen:

It has now been almost one and one-half years since the TCA and American marger was consumated. In that time period APA has spent many thousands of dollars in legal fees and untold thousands of nan hours to protect the interests of the American pilots against what I consider a cancerous growt namely the TCA pilots. We were good enough to offer to this group all the benefits that has taken us numerous years to accrue. We asked for nothing in return. The TCA pilots consider themselves an elite group, aloof from the American pilot. This is truly ludicrous, as 93% of all the TCA pilots required 56% to 110% additional time in training. One just has to look at their background to understand why no legitimate airline would consider hiring them. Three prime examples of the so-called average TCA pilot type are Crowley, Anatucci, and Biuso. These TCA pilots fired by American for incompetence and because they lacked the basic intelligence to grasp complex sets of material, all had prior employment records indicating dismissals for incompetence, thief of company property, and one even threatened to kill another pilot. Gentlemen, we do not need men such as these! The remaining TCA pilots will not find it as easy to get money from Uncle American!. From now on all the TCA pilots will be appraised on their abilit or lack of it. The American crew members will pay special attention to any and all infractions of even the smallest nature made by the TCA pilot. It will be your duty to report infractions to this office. The TCA group will also find that check rides and training in Dallas will be far from a boring experience. It will be an EXPERIENCE!. To sum up, If a cancerous growth cannot be treated by medication, then it must be cut out and killed before it causes furthur injury to a healthy body!.

Sincerely,

Nicholas J. O'Connell, J:

President

UNITED STATES DISTRICT COURT WESTERN DISTRICT OF NEW YORK

NEIL F. CROWLEY and LOUIS F. AMATUCCI,

Plaintiffs, : AFFIDAVIT

: 72 Civ. 281

AMERICAN AIRLINES and ALLIED PILOTS : Judge Curtin

ASSOCIATION,

Defendants.

STATE OF TEXAS) : SS.:
COUNTY OF TARRANT)

NICHOLAS J. O'COMNELL, being duly sworn, deposes and says:

- 1. I am the President of Allied Pilots Association (hereinafter "APA"). I am personally familiar with the facts in this affidavit, and I make this affidavit on the basis of personal knowledge. This affidavit is submitted in accordance with the Decision and Order of Judge John T. Curtin dated July 1, 1974.
- 2. Judge Curtin's Decision and Order referred to a letter dated August 2, 1972, alleged to have been prepared and signed by me, which was attached as Exhibit "G" to plaintiffs' motion for discovery filed February 14, 1974. A copy of that letter is attached to this affidavit as Exhibit "A". In response to this letter, Martin C. Scham, General Counsel to APA, submitted an affidavit sworn to February 13, 1974, explaining that this letter was forged and fraudulent and was

EYHIDIT C"

EXHIBIT "C" - ANNEXED TO AFFIDAVIT OF NICHOLAS J. O'COMMELL .

not authorized, prepared or circulated by AFA. A copy of Mr. Scham's affidavit is attached hereto as Exhibit "B". In his affidavit, Mr. Seham further explained that this same letter had previously been considered in an extended labor arbitration held before Professor Russell A. Smith pursuant to orders of the Civil Aeronautics Board at the time of the merger of Trans Caribbean Airways into American Airlines, to determine the manner in which the seniority lists of the two pilots' groups might be integrated after the merger. Mr. Seham explained that the document was excluded as a hoax from the Arbitrator's consideration in that proceeding by agreement of all concerned. I know the facts stated in Paragraph 3 of Mr. Scham's affidavit referring to the said letter to be true and incorporate them herein. The letter in question was produced on a stolen APA letterhead with either a forged or montage signature. I neither prepared nor signed the letter in question. The letter was not authorized, prepared nor circulated by APA.

3. Judge Curtin's Decision and Order also referred to a document alleged by plaintiff Crowley to be a set of instructions from APA to the American Airlines instructors at the American Flight Training Center at Great Southwestern Airport, attached as Exhibit "1" to the affidavit of plaintiff Crowley filed March 11, 1974. A copy of that document is attached hereto as Exhibit "C". In response to this document, Martin C. Scham submitted an affidavit sworn to March 15, 1974, explaining that the document was not an official document of APA and was not produced, authored nor in any way promulgated

or distributed by any APA officer or official, and was not in any way financed by APA. A copy of Mr. Seham's affidavit is attached hereto as Exhibit "D". I know the facts stated by Mr. Seham in Paragraph 2 of his affidavit referring to the said document to be true and incorporate them herein. The document is an anonymous document and has no connection whatsoever with any APA officer or official.

4. As President of APA, I became aware of these two fraudulent documents as they were originally circulated several years ago. At that time, I made a thorough investigation, and I determined that the documents lacked authenticity. To emphasize this point, I determined that the documents were complete frauds and had no connection whatsoever with APA.

Dated: July 19, 1974

MICHOLAS J. O'CONNELL

Sworn to before me this.

19th day of July , 1974.

NOTARY FUBLIC

:

EMBISIT "C-1" - ANNEXED TO AFFIDAVIT OF NICHOLAS J. O'CONNELL

UNITED STATES DISTRICT COURT WESTERN DISTRICT OF HEW YORK

NEIL F. CROWLEY and LOUIS F. AMATUCCI,

Plaintiffs,

AFFIDAVIT

-against-

72 Civ. 281

AMERICAN AIRLINES and ALLIED PILOTS :
ASSOCIATION,
Defendants - - - x

Judge Curtin

STATE OF HIM YORK)

SS.

COUNTY OF HEW YORK)

Association (hereinafter APA) and am personally familiar with the operations and procedures of that Organization. I have occupied that position since the Organization was formed. This Attobavit is submitted for the purpose of correcting certain statements appearing in the Defendants' Affidavits submitted in opposition to American Airline's Motion for Susmary Judgment. Since the facts in question are within the Physhlene of the APA and are of taport and both in this little tion are in the ongoing operation of that Association, it is important that this Atfidavit be offered and considered

by the Court. As the Court is aware, APA also has pending

FURTIN C. SEHAM, being duly sworn deposes and says:

PROTECT "C-1" - ANNEXED TO APPIDAVIT OF NICHOLAS J. O'COMMELL

papers have been received and therefore a reply cannot be made at this time.

- 2. Attached to the Affidavits of the Defendants

 (Exhibit 1 to Crowley Affidavit; Exhibit D to Amatucci Affidavit)
 is an anonymous document purporting to be instructions to

 American Airlines Flight Instructors. The document was apparently prepared on plain paper and does not show any connection with the APA. I can assure the Court of my own knowledge and upon personal verification with the officials of APA that the document in question is not an official APA document, was not produced, authored, or in any way promulgated or distributed by any APA officer or official, and was not in any way financed by APA. In all events, it has nothing whatsoever to do with the continuing position of the Association that the <u>driewages and System Board procedures</u> were available to the Plaintiffs on a wholly nondiscriminatory basis.
- upon requirement for pilots in the employ of American Airlines has been that they be capible of qualifying for advanced post-

PROTECT "OF " - ANDECED TO APPIDENT? OF MICHOLAS J. O'COMMELL

as part of the so-called "up or out" policy for pilots. There has been no practice at American as there was, for instance at TCA, permitting a pilot to remain in an inferior position because he either failed to or chose not to upgrade in turn.

Because of the extremely high hiring standards that American has followed for pilots, there have been relatively few situations in which a pilot has failed to upgrade, requiring his termination; such situations have, however, occurred in cases other than those involving former TCA pilots.

made by the Plaintiffs raise any issue of fact as to the will-ingness of APA to secure a full and fair hearing, vigorously presenting the case on behalf of its members, Amatucci and Crowley before the contractually designated and statutorily required System Board of Adjustment.

MARTIN C. SEI

partiel: Paren 15, 1974

15 day of March, 1974.

Notal Public 12

Notary Further State of New York Orrational in term Science

EXHIBIT "C-2" - ANNEXED TO AFFIDAVIT OF NICHOLAS J. O'COMMELL

UNITED STATES DISTRICT COUT ENGINEER DISTRICT OF NEW YORK

Neil F. Crowley and Louis F. Amatucci,

Plaintiffs,

-against-

AFFIDAVIT

American Airlines and Allied Pilots
Association,

72 Civ. 281 JTC

Defendants.

STATE OF NEW YORK)
: SS.
COUNTY OF NEW YORK)

FARTIN C. SEMAN, being duly sworn, deposes and says:

- 1. I am an attorney admitted to the Bar in the State of New York and General Counsel to the Defendant, Allied Pilots Association (APA). This Affidavit is being submitted in opposition to a motion by the Plaintiffs pursuant to Rule 37(a).
- discharge from the Company to events arising from a merger of their former employer, Trans Caribbean Airways (TCA) into American Airlines (American). The undersigned, in his capacity as General Counsel to APA (the pilots organization representing American pilots) is personally involved in all aspects of the merger as it affected the pilot group and as such can offer these comments in an individual and representative capacity.
- Att. is not out at the bettem of page 2 and page 3 of the attidavit of James M. Kenny. Mr. Kenny's argument relies exclusively upon APA's alleged tailure to produce a writing

attached to his Affidavit as Exhibit G. That document is a scandalous piece of material designed to inflame the former TCA pilots against APA, the new representative of the combined group. It was produced on a stolen APA letterhead with either a forged or montage signature from the Organization's president. In short, the letter upon which Mr. Kenny relies is a malicious hoax which we believe was perpetrated by representatives of the Organization formerly representing the TCA pilots. Certainly APA has under no obligation to produce, pursuant to the Plaintiffs' motion, this false document.

An attempt was made in another proceeding to introduce this document and at that time it was rejected as a false and cruel hoax. Pursuant to orders of the Civil Aeronautics Board an extended arbitration was held before Professor Russell A. Smith to determine the manner in which the seniority lists of the former TCA pilots and the American pilots should be integrated after the merger. During the course of that proceeding this same document, which is now being given additional currency by the Plaintiffs, was tendered by the representatives of the former TCA pilots. Representatives of APA, including Captain O'Connell, the supposed signator, were present. and dain O'Connell and other APA representatives present assured the Arbitrator that the document was a hoax and designed to infinme the emotions of the pilot group. By agreement of all out times the dome ent was excluded from the Arbitrator's consideration. The fact that the document in question is

a hoak comes as no surprise to the Plaintiffs. In the latter part of 1972 a Partner in this firm, Fred Klein, Esq., had occasion to discuss this document with Kevin Quill, Esq., the Attorney of Record for the Plaintiffs in this case. At that time, Mr. Klein stated unequivocably that the document was a hoak. We do not know whether Mr. Quill failed to advise Mr. Kenny of this conversation or whether Plaintiffs simply choose to disbelieve the advice that had been given. However, their own unproven, unsustained, yet contradicted, belief in the authenticity of the document, forms no basis for the motion now before the Court. Indeed, it is clear that in light of the advice previously given to the Plaintiffs concerning this document, this unsupported motion must be considered frivelous.

other fact which is consistent with the finding that the document is a hose. In the contest for the loyalties of the former TCA pilots after the merger, one of APA's prime objectives was to encourage those individuals to become members of APA. The large majority of the former TCA pilots did not choose to become APA members. However, the three individuals named in the hoax letter, Crowley, Amatucci and Biuso, all became members of APA. Therefore, it is understandable why disgruntled former TCA pilots might have chosen these three individuals as the victims of the cruel hoax reflected in Exhibit G. APA, on the other hand, would certainly not want to see those very individuals who had chosen to join the Organization hold up to the

EXHIBIT "C-2" - ANNEXED TO AFFIDAVIT OF NICHOLAS J. O'COMMELL

ridicule spelled out in the hoax letter.

APA has in all respects complied to the extent required by law with the Plaintiff's notice to produce documents. Plaintiffs' motion is frivolous, irresponsible and demonstrates a woeful ignorance of the actual circumstances surrounding the employment of the individual Plaintiffs.

Martin C. Seham

Dated: New York, New York February 13, 1974

Sworn to before me this

day of February, 1974.

Rotary Public

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ALLIED PILOTS ASSOCIATION

GATEWAY PLAZA, 2621 AVENUE "E" EAST, SUITE 203 . ARLINGTON, TEXAS 76010 . PHONE: 817-261-0261



May 21, 1971

OPEN LETTER TO CAPTAIN BERNARD TEXTOR AND ALL TCA PILOTS

Gentlemen:

This is in response to your telegram requesting the Allied Pilots Association to arbitrate the American Airlines pilots seniority list. The Board of Directors of the Allied Pilots Association had a meeting on May 19, 1971. The attitude of the American pilots as reflected by the Board is clearly and plainly set forth in the attached resolutions.

It is again suggested that you cease any and every attempt and effort to violate our collective bargaining agreements. Your efforts and the efforts of all other former TCA pilots could more constructively be directed by joining our organization and working with all of the other American pilots on an equal basis to improve our professional stature. However, we will continue our purposeto that end, with or without your cooperation.

Very truly yours,

President

Concurred in unanimously by the Board of Directors:

W. H. Barry

W. M. Culbertson

R. H. Malone

R. J. Utz

J. R. Hundemer

C. B. Dixon

W. A. Cairns

G. D. Penrod

J. P. Nusloch

J. B. Syrett

J. R. Lyons

R. L. Macdonald

W. E. Prathor

F. R. Vogel

F. G. Johnson

T. V. Kcohane

L. E. Hughes

D. H. Pacc

W. T. Reiners

W. K. Guessford

R. F. Hanna

cc: All APA members

EXHIBIT

WHEREAS the pilots in the employ of American Airlines, through their collective bargaining agent, the Allied Pilots Association, have expended great time and effort, energy and expense in negotiating superior sick leave provisions, disability provisions, and loss of license provisions with American Airlines, and

WHEREAS the acceptance of these superior collective bargaining provisions were taken in lieu of other benefits possibly attainable in our collective bargaining agreement, and

WHEREAS the cost factor to the Company in determining these benefits could be determined on the basis of the Company's experience through its preventive medical program, and

WHEREAS it appears that additional pilots will be on the pilot payroll where the Company has not had an opportunity to make a physical evaluation prior to their becoming American pilots, and

WHEREAS in pursuing its preventive medical program and insuring the professional physical longevity of our pilot group, new-hire pilot employees have undergone rigid medical examinations, including but not limited to electroencephalograms,

NOW THEREFORE, BE IT RESOLVED that American Airlines be advised that the Association insists that the Company's medical program be rigidly enforced without discrimination among all pilots in the employ of American Airlines.

BE IT PURTHER RESOLVED that these physical examinations be given withdut discrimination.

WHEREAS, through the years American Airlines has maintained rigid profession at inderes of all pilots in its employ, and

WHEREAS, adherence to these standards has permitted the American pilot group to maintain the most rigid professional standards, equal to or superior to any other pilot group in the world, and

WHERE/S, it appears there is a danger that such professional standards could be put in jeopardy by the admission of pilots not originally hired or screened by American Airlines,

NOW THEREFORE, BE IT RESOLVED that the Allied Pilots Association, through its President, advise American Airlines that it will not countenance in any degree any diminution of the professional standards that the American Airlines pilots have maintained through Company direction and co-operation.

BE IT FURTHER RESOLVED that the standards are to be maintained without discrimination to any pilot in the Company's employ.

WHEREAS the former TCA pilots who are non-members of the Allied Pilots
Association have remained so in their selfish insistence to upset our basic seniority syst

BE IT RESOLVED that the names of all non-members of the APA be listed in larletters permanently on each APA bulletin board at each domicile.

BE IT FURTHER RESOLVED that this list be brought to the attention of all memi of the Association for their information, to enable them to extend all professional and soccurtesies or lack thereof to these non-members.

BE IT RESOLVED that any application for PMA membership from any non-member of the Allied Pilots Association shall be first approved by the APA Board of Directors.

WHEREAS the Allied Pilots Association is the certificated collective bargaining agent of all pilots in the employ of American Airlines, and

WHEREAS the pilot complement of American Airlines was formed on the basis of date of hire of all pilots, and

WHEREAS, since the birth of the Company, all pilots have assumed their position our seniority list on the basis of their date of hire, and

WHEREAS the substantive provisions of the seniority section have remained unchanged since the birth of the Company, and

WHEREAS the Association in good faith and in an effort to be fair and equitable all concerned has negotiated an agreement with American Airlines providing that date of his shall be the basis upon which pilots formerly with Trans-Caribbean Airways would be well into the American Airlines seniority group, and

WHERE/S certain dissident TCA pilots have and continue to attempt to upset as though the provisions of our basic seniority rules to their selfish advantage, and

WHEREAS the date of hire concept forms the root and foundation of our members particulates, responsibilities and advantages through their airline professional careers,

WHEREAS any attempt to alter, amend or change in any way our basic seniority system would effectively nullify each and every provision of our various collective bargain ng agreements,

THEREFORE, BE IT RESOLVED that the President of the Allied Pilots Association authorized to take any and all action necessary, including but not limited to promulgate a strike ballot to all members of the Allied Pilots Association authorizing a work stopped rotest and insure the sanctity of our seniority system.

EE IT FURTHER RESOLVED that any and all parties be advised of the provisions of this resolution and its solemn intent and jurpose.

A ALLIED PILOTS ASSOCIATION

GATEMAY PLAZA, 2521 AVENUE "E" FAST, SUITE 202 . ARLINGTON, TEXAS 76010 . PHONE: 817-265-6361

May 21, 1971

To:

All Members

Allied Pilots Association

Subject:

A. A. PURCHASE OF T. C. A.

Gentlemen:

(Fellows, let's talk a little cracker barrel philosophy.) There once was a time when a follow could make a decision to go to work for an employer and, knowing the ground rule would accept them in doing his job. As the years went by, he would enjoy a rewarding career, secure in the thought that everybody in the same position was operating by the same ground rules and had the same opportunities. We don't have to look very far to know that this system works. American Airlines was founded on this principle. Everybudy who came to work for AA did so because he wanted to. He also knew that if he did his work properly, everything else being equal, he would enjoy the fruits of his labor-This concept is not peculiar to pilots -- just take a look around anywhere in the Company ask any agent if he does not get his raises on the basis of longevity. The mechanic's yearly rate increase depends on his years of service. Among the non-organized people, longevity and experience are important considerations to promotion. Everybody takes his vacation based on years of service. Everybody builds up sick leave on the basis of years of service. Everybody earns his pension based upon length of service. Even in our contract you will notice that disability retirement is earned by years of service. The simple point is that everything you have and hope to have with our Company is based on your length of service.

clow, everybody, who came to work with American Airlines in the last 30 years did so recause he wanted to. Nobody required the Company's 39,000 employees to accept employment here. We know that thousands of our pilots, based upon their education, proassional experience, and military experience could have gone to work with any airline in the business. But, knowing the ground rules, and accepting them, and in competition with thousands of other pilots who wanted the job, they were chosen and accepted employment with American. You and I both know it was the best decision we ever made in air lives.

owe for the first time in our history, we have pilot employees who did not choose to ome to work with American Airlines, or who did not meet AA pilot employment qualifications. These pilots have not contributed one cent of their talent, a nickel of their time, or a dime of their effort to build this Company to its status of eminence in the airline adustry.

If you look to the bottom of the well, any company is made up of people -- managers, su; visors, mechanics, cleaners, you name it. If all companies operate under the same gro rules, all else being equal, in this industry the company that succeeds is going to do so I cause of its people. By the turn of the coin, if a company does not succeed, the people within that company have got to take a very great portion of the blame.

Now it just so happens that American Airlines bought a company that was, in reality, bar rupt. In fact, they had to take the dollars created by the American employees' efforts to keep the bankrupt company going until the purchase was OK'd by the Civil Aeronautics Board. If anybody told a banker that this was a merge the would fall off his swivel chail laughing.

Now then, the government, in what they consider the public interest has, through he ye said that employees who work for acquired companies, even bankrupt ones, are entitled some employment protection. There is nothing wrong with that. We do not understand, however, the logic that gives protection to people who work for the bankrupt company are not to the other. You may recall the Studebaker Corporation and the Kaiser automobile. Don't recall the government opening the bank vaults to have General Motors and Chrysle take care of those employees. Now it is true that when the government let American Air lines have these routes, in return they said, "You have to do something for these people. There is nothing wrong with that, either. But you all will remember when AA was the or carrier on the Southern Transcon route between Dallas and the west coast. The CAB has given these routes to practically everybody in the business and set us back for seven year Don't recall the government ever suggesting that our people were entitled to any help.

New, your elected representatives, attempting to be fair and square and wanting to welcome these outsiders in as a peaceful part of our family and to be assimilated, agreed with the Company that these pilots would sit down at the Company dinner table and take their cut of beef on the same basis that our oldest brothers did in years gone by, and as our youngest family members do. Now; would you believe these boys are not satisfied with their cut of beef. They want the whole cow, and intend to rearrange the groceries. Family thing is, they have convinced some government people, who don't know anything about our Company family, that we have a domestic problem. Now, we know we don't have a problem and never had a problem. The problem is with the ingrates we have trie to help. (We don't mind playing ball, but we resent having the bat shoved up our

I know that each and every one of our members will lay it on the line to maintain our seriority system. To be blunt, the TCA boys should either get in line or get the hell out. If they are not big enough to play the game according to the rules, we don't want them. If our consideration and efforts have been interpreted as weakness, they have made one hell of a mistake.

Very truly yours, FOR THE BOARD OF DIRECTOR

Dennident!

ALLIED PILOTS ASSOCIAT

CATEMAY PLACE TOLL AVENUE."E" EAST, SUITE 208 - ARLINGTON, TEXAS 76010 - PHONE: 817-261-0261

August 4, 1971

TO:

ALL MEMBERS - NEW YORK DOMICILE

NEW YORK DOMICILE MEETING MINUTES, July 29, 1971 SUBJECT:

1900 hours at Sheraton LaGuardia Hotel Meeting was called to order at 1919 hours by Chairman Cairns and Vice Chairman Nuslock. Mr. Ed McGina, Director/Flight Manning did not attend. A last minute change prevented Mr. Martin Scham from attending also. Several items of interest were covered prior to the arrival of guests.

- Petitions denied at Federal Appeal Court, Second Circuit on July 23, 1971. Next step to Supreme Court; Writ of Certiorari being prepared
- 2. Sick list covered.
- 3. New committee to be formed to encourage TCA pilots to join APA. Please volunteer.
- APA will nighten up on deliquent dues. If Ray Fry is not paid up by August 13th, he will be expelled. Perhaps a phone call from his friends could pre-
- 5. Illegally parked cars in employee lots will be towed away. Please adhere to prescribed rules, including sticker in view on visor of dashboard.
- New grievance procedure to be instituted at New York. Check bulletin .board.
- Some changes in the August allocations. Be sure to verify your schedule.

At 1950 hours, President N. J. O'Connell and Secretary-Treasurer William Culbertson arrived.

- 8. Contract openers coming up soon. Please drop any comments or submissions in Cairns or Nucloch mail boxes.
- 9. R. Bernascon: moved that the minutes of the last meeting be accepted. Seconded by A. Price. Passed.

Chairman Cairns then introduced President N. J. O'Connell and Secretary --Treasurer William Culbertson and turned the floor over to Captain O'Connell. Captain O'Connoll's remarks were as follows:

APA requested that MAC bids be re-posted. Company agreed and then added a tother streetly requiring more personnel, so bids were enlarged. AAD and ABV. negotiating rules for the last several days. Anyone with qualifications will be able to move freely from international to domestic. Details to follow.

MAC bidder will have restrictions; suggest that you await bulletin. Crew schodulers are in short supply. Time is not being posted daily, so keep your own records accurately so as to stay legal and have records avail able for pay verification as your pay will be subject to errors also.

EXPIDIT F

TCA/APA problems: Pursuing problem in court and evaluating position to take in any arbitration proceedings. Various alternatives are possible. Twenty-one former TCI members have joined APA, but we need all the conversions we can get. Request the names of any TCA pilots wearing uniforms not properly signifying their American Airlines status so that this can be corrected. Keep your month-to-month status (sinc the merger) in case such records might be needed in arbitration case. We feel at this time that the TCA pilots are presently persona non grata. Enough said.

It is felt that the APA lawyers are extremely capable in this field and well able to represent the Association in the proceedings. TCA pilots seem to feel they have nothing to lose in arbitration and this is not so. The arbitrator would judge the arguments presented and not past agreements, and the TCA pilots could come out with less than they have now. Be sure to mention this to the TCA pilots.

- 4. Furlough protection: Captain O'Connell and the entire APA philosophy is that what benefits the junior men eventually gets to the senior men; so, APA does mean to support the junior men. President O'Connell discussed with Mr. Warde the present situation. Quite simply, AAL must make money; economize, a reduction in force, building up business, all these things being considered. A reduction in hours does not necessarily mean much as an economy gesture. However, many other items have be discussed in order to give AAL working capital; such things as pilot payroll loans to AAL at interest to be repaid, elimination of meal expenses for a period of months, doubling up on hotel rooms, use of hotels closer to airports to minimize transportationsts. But, there are many considerations involved in these ideas. Mr. Warde state that no furlough plans are firm at this time and that there is some hope that it can be avoided.
- 5. Preight business has gone all to hell. San Juan is doing well; Trans-Con 747 very poor. Some concessions in the working agreement may be asked of the Board of Directors. APA hopes to get across to American Airlines not to bust the contract without going through the proper channels. At lease ask first. There is a junior pilot group working to set up a program to sell American Airlines and to help AAL, in turn helping ourselves. August projection is 59,000 hours; November projection is 55,000 hours. This could mean surplus pilots. We must increase the business.
- 6. Motion by Erik Vettergren, seconded by R. Zielke.

WHEREAS (1) AAL is losing money at a greater rate than last year (2) AAL Board of Directors has voted to omit the regular quarterly dividends, the first such omission since 1950, (3) President Spater has ordered a work force reduction, (4) a reduction in schedules for October has been announced, (5) an even greater reduction in all market schedules is being actively considered, (6) in a period of economic curess in tight pilot manning, ATPC training has been scheduled for completion prior to October 1, and (7) all general information, economic concern and the climate promoted by AAL announcements to its pilots is nearly identical to that of last year at this time.

WHEREAS, the facts presented indicate a potential pilot force reduction of APA members prior to the scheduled Board of Directors meeting in October,

WHEREAS, the additional furlough of even one APA member at this time would have a devastating effect on his career advancement for the next thirty years, if

WHEREAS, alternate plans and/or solutions to the stated problems are being active considered by an appointed national APA committee.

BE IT RESOLVED that when the national committee completes its proposals, the N. York domicile members of the Board of Directors of APA be under mandate to require that the Board of Directors meet in emergency session to consider all committees posals for presentation to American Airlines.

Motion carried unanimously.

7. Motion submitted by E. Vettergren, seconded by Herb Rinehart.

WHEREAS, the seniority list of American Airlines pilots is under dispute as well . governmental investigation,

WHEREAS, most former TCA pilots refuse to accept a date-of-hire basis for semi ity placement,

WHEREAS, all former TCA pilots who steadfastly refuse to accept date-of-hire pi ment on the AAL seniority pilot list have less longevity of service with American Airlines than any original American pilot,

BE IT RESCLVED that the New York domicile members of the Board of Directors direct the legal staff to determine the legality of American Airlines to furlough ampilot when the pilot seniority list has not been fully determined.

BE IT FURTHER RESOLVED that as long as the date-of-hire seniority list is undedispute and former TCA pilots refuse to accept same that longevity of service for AAL only be the sole basis of seniority and any furlough be based on this concept, providing necessary compensations according to the Railway-Labor Act.

Motion carried. One abstention.

8. Motion submitted by E. Vettergren, seconded by R. T. Guba. After discussion, E. Vettergren requested withdrawal and seconder refused withdrawal without vote Motion to table by R. Bernasconi. Voted and carried. K. Korshin submitted mot seconded by R. Bernasconi.

BE IT RESOLVED that the New York domicile direct its representatives to take as action at the Board of Directors meeting to protect APA members from a furloughwhile at the same time not jeopardizing the re-call of those APA members already furloughed.

BE IT FURTHER RESOLVED that any notice of a furlough be a mandate for a domicile meeting within 72 hours.

Motion carried. One abstantion.

9. Captain Depol introduced question of flying in New York as opposed to Los Angele. President O'Connell will attempt to provide as much notice as possible of impacti furlough. Will also try to retain pass privileges so as to be able to job search. Talk to Credit Union if you have dobts so as to work out satisfactory linancial arrangements. They are willing, but you must bring your situation to them first.

- 10. President O'Connell discussed negotiations with AAL regarding split sequences.

 K. Korshin introduced motion concerning cabin/crew inspired emergency evacuation. Seconded by R. Bernasconi, motion carried unanimously.
- 11. Captain Cairns discussed (1) the casual and careless distribution of pilot pay checks and (2) unacceptable practice of removing low JEPCO's from the first officer.
- 12. The New York domicile wishes to thank Jack Kenny for taking the minutes of the me After thanking the guest speakers, the business meeting was adjourned by Captain (at 0103 EDT.

/s/ W. A. Cairns

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WAC/cl

UNITED STATES DISTRICT COURT WESTERN DISTRICT OF NEW YORK

HEIL Y. CROWLEY and LOUIS F. AMATUCCI.

72 Civ. 281

RESPONSE OF DEFENDANT Plaintiffs, : ALLIED PILOTS ASSOCIATION TO PLAINTIFFS' NOTICE OF : REQUEST FOR PRODUCTION OF DOCUMENTS

-against-

AMERICAN AIRLINES and ALLIED PILOTS : ASSOCIATION,

Defendants.

Delendant, Allied Pilots Association, hereby answers with respect to plaintiffs' request to produce in the aboveentitled action:

- 1. With respect to the request in paragraph "1", that the inspection will be permitted as requested.
- 2. With respect to the request in paragraph "2", that the inspection will be permitted as requested.
- 3. With respect to the request in paragraph "3", that the inspection will be permitted as requested.
- 4. With respect to the request in paragraph "4", that the inspection will be permitted as requested.
- 5. With respect to the request in paragraph "5", that the inspection will be parmitted as requested.
- 6. With respect to the request in paragraph "6", that inspection will be granted of any and all correspondence, reports, writings, and memoranda of any nature whatsoever. including newsletters which relate to the training by American Alatines of former Transcaribbean Airline personnel only if such items relate to training by American Airlines of former

EXHIBIT G

Transcaribbean Airline personnel in general and not if such items refer to specific individuals (other than the plaintiffs), and also not if such items refer to training for all American Airlines personnel in general.

- that inspection will be granted of any and all records, memoranda, correspondence, reports, newsletters and minutes of meetings which relate to treatment to be afforded Transcaribbean Airline flight personnel by Allied Pilots Association members only if such items relate to treatment to be afforded Transcaribbean caribbean Airline flight personnel in general by Allied Pilots Association members and not if such items refer to specific former individuals (other than the plaintiffs). The word "treatment" as used in plaintiffs' request to produce is deemed to not include irrelevant mention of the American Airline-Transcaribbean corger proceedings ongoing at that time.
- S. With respect to the request in paragraph "8", that inspection will be permitted only as to minutes and other memoranda relating to the meetings of Allied Pilots Association's Buffalo, New York and New York, New York domiciles for the year 1971 which relate to training and treatment to be afforded the plaintiffs and other former TCA flight personnel in general by Allied Pilots Association members.
- 9. With respect to the request in paragraph "9", that the inspection will be permitted as requested.
- that the inspection will be permitted as requested.

that inspection will be permitted only as to records, transcripts, tape recordings or other memoranda which relate to any proceedings wherein plaintiffs rights under the agreement between Allied Pilots Association and American Airlines were under consideration which were prepared by Allied Pilots Association representatives or by independent court reporting services.

DATED: New York, New York January 10, 1974

> SURREY, KARASIK, MORSE and SEHAM Attorneys for Defendant, Allied Pilots Association Office and P.O. Address 500 Fifth Avenue New York, New York 10036 (212) 239-7200



